



ADVISORY ACCOUNT CONVERSION MATRIX

Conversion Scenario	PAG Part 2A Brochure and Part 2B Brochure Supplement given to client	PAG Investment Advisory Agreement given to client	PAG Privacy Notice given to client	New App / Profile signed by client	Client Acknowledgement signed by client	Account Packet provided to client	Performance, account number and ancillary paperwork remain with account after conversion
SAM I/II to SWM I/II	YES	YES	YES		YES F461-SWM-ACK	Yes - AP-SWM	YES
OMP	YES	YES	YES		YES A70-ACK	Yes - AP-OMP-R	YES
MWP	YES	YES	YES		YES A70-ACK	Yes - AP-MWP-R	YES
PWP	YES	YES	YES		YES A70-ACK	Yes - AP-PWP-R	YES
MS to MAS	YES	YES	YES	YES - Retirement (F1AR-R) or Non-Retirement (F1AN-R)		Yes - AP-MAS-R	YES (if manager remains the same)
MS to MAN	YES	YES	YES	YES - Retirement (F1AR-R) or Non-Retirement (F1AN-R)		Yes - AP-MAN	NO

Supplied by PAG

Supplied by LPL